UNITED STATES PATENT AND TRADEMARK OFFICE
2
3
4 BEFORE THE BOARD OF PATENT APPEALS
5 AND INTERFERENCES
7
8 Ex parte SUSAN G. KATZ
9
10
11 Appeal 2007-3309
12 Application 10/647,618
Technology Center 3600
15
Decided: November 26, 2007
18
19
20Before: WILLIAM F. PATE, III, TERRY J. OWENS and 21STEVEN D.A. McCARTHY, Administrative Patent Judges.
22 21 21 21 21 21 21 21 21 21 21 21 21 2
23McCARTHY, Administrative Patent Judge.
,
24
25 DECISION ON APPEAL
26
27 STATEMENT OF THE CASE
The Appellant appeals under 35 U.S.C. § 134 (2002) from the fina
29 rejection of claims 1-12 and 14-23. We have jurisdiction under 35 $\rm U.S.C$
30§ 6(b) (2002).

30The appealed claims are rejected under 35 U.S.C. § 103(a) as being 31unpatentable over Hillstrom (U.S. Patent 5,040,586) in light of Phillips (U.S. 32Patent 5,074,348).

33 We affirm.

29

1Appeal 2007-3309

5Appeal 2007-3309 6Application 10/647,618 7

The sole issue in this appeal is whether the Examiner erred in 3contending that the substitution of hook-and-loop material as taught by 4Phillips into a system for holding and displaying a banner as taught by 5Hillstrom would have been obvious.

6 7

## FINDINGS OF FACT

ISSUE

8 The record supports the following findings of fact ("FF") by a 9preponderance of the evidence.

10

- 1. Hillstrom discloses a system for holding and displaying a 12banner on an exterior surface of a building. (Hillstrom, col. 1, Il. 5-7; col. 2, 13ll. 55-68). The banner may be made from vinyl material, plastic material or 14any "appropriate material." (Hillstrom, col. 3, Il. 23-31). The parties do not 15dispute the Examiner's contention that the "appropriate material" for the 16banner may be a sheet of fabric material. (*See* Office Action, Jan. 13, 2005 17at 2). Hillstrom's system uses a "frame device" which suspends the banner 18on hooks between an extruded aluminum base member screwed or nailed 19into the building surface and an extruded aluminum cover member biased 20over the free ends of the hooks. (Hillstrom, col. 4, I. 59 –col. 5, I. 3 and col. 214, Il. 8-14). Hillstrom states that the system "allows relatively quick and 22easy changes of the banners." (Hillstrom, col. 1, Il. 51-56).
- 23 2. The Examiner found that "Hillstrom discloses a banner attached 24to the exterior of a building, the banner being made of 'any appropriate 25material,' which clearly includes a fabric. The banner is removably attached 26so that it can be changed easily, column 2 lined [sic, lines] 29-33. It is

9Appeal 2007-3309 10Application 10/647,618

lattached to an eave at the roof line of a fast food restaurant. What is not 2shown are the hook and loop fasteners." (Office Action, Jan. 13, 2005 at 2). 3Although the Appellant expressly agreed that "Hillstrom fails to disclose the 4use of hook and loop fasteners for attaching a banner to the exterior of a 5building" (Br. 6), the Appellant did not traverse the Examiner's other 6findings as to the scope and content of Hillstrom.

7 3. Phillips teaches "a valance treatment for a window which is 8made of standard components both swags and jabot elements that can easily 9be mounted to suit almost any width of window." (Phillips, col. 1, II. 46-1050). The swags are formed from textile materials. "Attachment strips" 11consisting of hook and loop tape are sewn across the top of each swag. 12(Phillips, col. 2, II. 53-59; col. 3, II. 1-2; and Fig. 4). The valance treatment 13is supported by a wood strip extending from a wall. A strip of hook-tape or 14loop-tape is attached to the top of the wood strip. The attachment strip sewn 15to the top edge of each swag is pressed against the hook-tape or loop-tape 16lying on the wood strip so that the swag folds over the exposed side of the 17wood strip. (Phillips, col. 3, II. 8-24).

18 19

## PRINCIPLES OF LAW

A claim is unpatentable for obviousness under 35 U.S.C. § 103(a) if 21"the differences between the subject matter sought to be patented and the 22prior art are such that the subject matter as a whole would have been obvious 23at the time the invention was made to a person having ordinary skill in the 24art to which said subject matter pertains." In *Graham v. John Deere Co.*, 25383 U.S. 1 (1966), the Supreme Court set out factors to be considered in 26determining whether claimed subject matter would have been obvious:

```
13Appeal 2007-3309
14Application 10/647,618
15
 1
 2
               Under § 103, the scope and content of the prior art
               are to be determined; differences between the prior
 3
 4
               art and the claims at issue are to be ascertained:
 5
               and the level of ordinary skill in the pertinent art
               resolved. Against this background the obviousness
 6
 7
               or nonobviousness of the subject matter is
 8
               determined. Such secondary considerations as
 9
              commercial success, long felt but unsolved needs.
               failure of others, etc., might be utilized to give
10
               light to the circumstances surrounding the origin of
11
               the subject matter sought to be patented.
12
13
```

14Id., 383 U.S. at 17-18.

- In order to reject a claim under 35 U.S.C. § 103(a), an examiner must 16establish a "prima facie" case for obviousness. If the examiner rejects the 17claim, the applicant may submit arguments detailing reasons why the 18applicant believes the examiner failed to make a prima facie case. Once the 19examiner establishes a prima facie case, however, the claim is properly 20rejected unless the applicant submits evidence proving sufficient new facts 21such that the sum of the facts before the examiner does not to prove the 22claimed subject matter obvious. See In re Dillon, 919 F.2d 688, 692-93 23(Fed. Cir. 1990) (en banc).
- An examiner cannot establish a prima facie case that a claim is 25 obvious "merely by demonstrating that each of its elements was, 26 independently, known in the prior art." *KSR Int'l Co. v. Teleflex Inc.*, 127 27 S.Ct. 1727, 1741 (2007). Since not every combination of prior art references 28 which happens to show each of the elements recited in a claim will suffice to 29 establish a prima facie case for obviousness, the examiner must articulate 30 reasons why the teachings of a particular combination suffice. That said, an

```
17Appeal 2007-3309
18Application 10/647,618
```

1 examiner establishes a prima facie case that claimed subject matter is 2 obvious when the examiner articulates reasons consistent with the level of 3 ordinary skill in the art at the time of the invention why (in the words of 35 4U.S.C. § 103(a)) "the differences between the subject matter sought to be 5 patented and the prior art are such that the subject matter as a whole would 6 have been obvious at the time the invention was made to a person having 7 ordinary skill in the art to which said subject matter pertains."

8

## ANALYSIS

The first step in determining whether the Examiner has established a 11prima facie case for obviousness is to determine the scope and content of the 12prior art. With respect to claim 1, Hillstrom discloses a decorative external 13curtain assembly for applying a sheet of an "appropriate material" to a wall. 14The parties do not dispute that the Examiner's contention that the 15"appropriate material" could be a sheet of fabric material. (FF 1).

Phillips teaches complementary engageable fastener strips (namely, 17hook-tape and loop-tape) sewn to a fabric material for engagement along an 18entire edge of the fabric material. (FF 3). The limitation of claim 1:

19 20 21

22

23

24

25

26 27

28

wherein the complementary engageable fastener strips are made of a pair of strips, one strip having co-acting miniature hooks formed of a relatively rigid filament material and another of the strips having loops also formed of a relatively rigid filament material which engage one another to form a bond between the building and the fabric that is broken by pulling apart the pair of strips

Imerely describes the structure and predictable operation of such hook tape 2 and loop tape. The parties do not dispute the Examiner's contention that the 3 use of hot glue or another adhesive for attaching lightweight materials such 4 as hook-and-loop material to solid surfaces such as the external facades of 5 buildings was within the level of ordinary skill in the art. (See Office 6 Action, Sept. 22, 2005 at 2-3).

- The second step in determining whether the Examiner has established 8a prima facie case for obviousness is to ascertain the differences between the 9prior art and the claims at issue. The only differences between Hillstrom's 10system and the subject matter of claim 1 is that, in the assembly of claim 1, a 11fastener strip of hook-and-loop material sewn across an entire edge of a 12fabric material and another fastener strip adhered to the building surface are 13used to fasten the fabric material to the building surface. (FF 2). The 14fastener strips and their attachment arrangement are taught or suggested by 15Phillips.
- The third step in determining whether the Examiner has established a 17prima facie case for obviousness is to resolve the level of ordinary skill in 18the art. The factors which may be considered in determining the level of 19ordinary skill include the teachings of the prior art references themselves; 20the education and experience of the inventor; and the sophistication of the 21technology. *Daiichi Sankyo Co. v. Apotex, Inc.*, 501 F.3d 1254, 1256 (Fed. 22Cir. 2007). The Appellant does not contest that hook-and-loop material 23predictably creates temporary bonds which are easily broken by pulling 24apart the hook and loop tapes. (Specification 3). The Appellant's 25background suggests that one skilled in the art would have had 26°considerable experience with regard to the use of textiles and fabrics as

larticles of manufacture" (Rule 132 Affidavit, Nov. 2, 2004, ¶ 2). Given this 2level of experience and the well-known prevalence of hook-and-loop 3material in garments and other textile products, one skilled in the art would 4have recognized that hook-and-loop material could be used to fasten fabric 5materials to substrates and that fabric materials fastened by hook-and-loop 6material could be easily detached. (FF 4). Given the relatively 7unsophisticated nature of the technology, one skilled in the art would have 8had the capacity to use hook-and-loop material to hold and display a fabric 9material against an external facade of a building.

The final step in determining whether the Examiner has established a 11prima facie case of obviousness is to determine whether the Examiner 12articulated reasons why the differences between the subject matter sought to 13be patented and the prior art are such that the subject matter as a whole 14would have been obvious to a person having ordinary skill in the art. The 15reasons for combining the teachings of the prior art may arise from the 16nature of the combination itself. For example, a simple substitution of one 17known element for another according to a known method to obtain 18predictable results is prima facie unpatentable. *KSR*, 127 S.Ct. at 1740.

In the present case, it would have been obvious to substitute hook20and-loop material sewn to a fabric material for engagement along an entire
21edge of the fabric material as taught by Phillips into a system for holding
22and displaying the fabric material on an exterior building surface as taught
23by Hillstrom. Phillips taught that hook-and-loop material could be used to
24hang a sheet of fabric material indoors. Substituting the hook-and-loop
25material of Phillips for the "frame device" of Hillstrom would not change
26the manner in which the hook-and-loop material fastened the fabric material

29Appeal 2007-3309 30Application 10/647,618

- Ito the building surface—the substitution would merely move the hook-and-2loop material from an indoor location to an outdoor location. As such, the 3results of the substitution would have been predictable. These reasons alone 4suffice to establish a prima facie case that the subject matter of the claim 1 5was obvious.
- The Appellant argues that a passage in Hillstrom criticizing "known 7systems and devices" as unreliable, particularly when "placed outdoors 8where they are subject to high winds and other harsh conditions" (Hillstrom, 9col.1, 11, 32-34) teaches away from the substitution of hook-and-loop 10material for the "frame device" disclosed in Hillstrom. (Br. 8-9). The 11Examiner correctly observed that Hillstrom does not criticize the use of 12hook-and-loop material specifically to hold and display banners outdoors. 13(Ans. 4-5). The Examiner also observed that substituting hook-and-loop 14material as taught by Phillips for the "frame device" disclosed by Hillstrom 15in an outdoor display would "render changing of the banner easier." (Ans. 163; compare Specification 3 (stating that hook-and-loop material creates 17temporary bonds that are easily broken by pulling apart the hook-and-loop 18strips) with Hillstrom, col. 5, Il. 41-63 (describing sequence of steps required 19to change a banner held in Hillstrom's "frame device.")). Hillstrom does not 20teach away from the claimed subject matter because the reference's criticism 21of "known systems and devices" is not specific enough to have discouraged 22one skilled in the art motivated to use hook-and-loop material to simplify the 23changing of outdoor banners.
- The Appellant further argues that the claimed subject matter was 25intended to address the problem of displaying a sheet of fabric material 26outdoors and that the alleged unreliability of hook-and-loop material renders

```
33Appeal 2007-3309
34Application 10/647,618
```

1it unsuitable to solve this problem. In support of this argument, the
2Appellant cites *In re Wright*, 848 F.2d 1216, 1219 (Fed. Cir. 1988) for the
3proposition that "the question posed, is whether what the Appellant did
4would have been obvious to one of ordinary skill in the art *attempting to*5solve the problem upon which the inventor was working." (Br. 11 [emphasis
6added]). This proposition does not represent the current state of the law. *In*7re Translogic Tech., Inc., Appeal No. 2006-1192 slip op. at 18-19 (Fed. Cir.
8Oct. 12, 2007); see also In re Dillon, 919 F.2d 688, 693 (Fed. Cir. 1990) (en
9banc) (overruling Wright at least in part). The subject matter of claim 1
10consists of known elements combined in a known manner yielding
11predictable results. This rationale suffices to establish prima facie

13 The Appellant submitted a "Rule 132 Affidavit" declaring that, in the 14Appellant's experience,

```
15
               the idea that [hook-and-loop] tape could be
16
              effectively used to attach a curtain to the outside of
17
               a building where the curtain is subjected to harsh
18
19
               environmental conditions is unexpected because
               the general opinion in the art is that [hook-and-
20
21
               loop material would form too weak a bond to
22
              effective [sic] hold the banner or curtain to the
23
              exterior of the building when harsh environmental
              conditions such as wind and rain are encountered
24
               by the exterior curtain.
25
26
```

27(*Id.*, ¶ 7). The Appellant's opinion regarding the legal issue of whether the 28differences between the claimed subject matter and the prior art would have 29been obvious to a person having ordinary skill in the art is not evidence in 30the case. *In re Lindell*, 385 F.2d 453, 456 (C.C.P.A. 1967). To the extent

37Appeal 2007-3309 38Application 10/647,618 39

1that the Appellant sought to offer opinion testimony regarding the level of 2skill in the art or to establish "unexpected results" as secondary evidence of 3non-obviousness, the Appellant's opinion testimony does not overcome the 4strong prima facie evidence proving the obviousness of claim 1. *See id.*; 5Leapfrog Enterps., Inc. v. Fisher-Price, Inc., 485 F.3d 1157, 1162 (Fed. Cir. 62007).

7 On the record before us, the Appellant has not rebutted the 8Examiner's prima facie case that the subject matter of representative claim 1 9was obvious. Since the Appellant did not separately argue the patentability 100f claims 3 and 6-21, those claims fall with claim 1.

11 12

## CONCLUSION OF LAW

On the record before us, the Appellant has not shown that the 14Examiner erred in rejecting the claims 1, 3 and 6-21 as being unpatentable 15over Hillstrom in light of Phillips.

16

17 DECISION

The Examiner's rejection of claims 1, 3 and 6-21 is affirmed.

19

20 AFFIRMED

21JRG

22 23

24SUSAN G. KATZ 25205 W. ROBERTS STREET 26NORRISTOWN, PA 19401